

Part B IDEA Section 618 Data Submissions under ED Facts Modernization



2023 OSEP LEADERSHIP AND PROJECT DIRECTORS' CONFERENCE



Panel Members

- Meredith Miceli – RTP, OSEP
- Amy Bae – RTP, OSEP
- Christine Pilgrim – MSIP, OSEP
- Liz Fening – EDFacts Team, NCES
- Courtney Montgomery – EDFacts Partner Support Center





This Photo by Unknown Author is licensed under [CC BY-SA-NC](#)

Why Are We Modernizing ED Facts?

- Technical reasons: age, security requirements, technology
- Operational reasons:
 - What was not working?
 - Post-submission data quality process was unsustainable and inefficient (ED responsibility)
 - Data not usable at the due date (SEA responsibility)
- Priorities
 - Fix ED data review-and-use processes
 - Provide tools to support State processes
 - Use data at the due date



Expectation under ED Facts Modernization

- OSEP expects states/entities to take one of the following two actions for all business rules that are triggered prior to the due date:
 1. revise the uploaded data to address the edit; or
 2. provide data note addressing why these data submission has triggered the edit.

Please note that, *for data submitted via ED Pass*, you will be unable to submit the data to ED without taking one of these two actions.



New for SY 2022-23 IDEA Section 618 Data

- Submit the majority of 618 Part B data via EDPass
- Due dates have been adjusted
- Address business rules that are triggered prior to due date
 - Business rules results (also referred to as data quality results)
 - Results will be downloadable
 - Business rule response options
- Submit all required levels of a file at the same time (i.e., SEA, LEA, and school level, as applicable)
- Submit data notes prior to due date
- No resubmission period



Old DQ Review Process (Used through SY 2021-22)

Initial Close

Final Close



New DQ Review Process - EDPass

Due Date

Support Prior to Due Date:

- Office Hours
- Partner Support
- Training Videos
- Webinars
- Revised Business Rule Single Inventory



Due Dates for SY 2022-23

618 Data Collection	Due Date
Part B Child Count and Educational Environments Data	8/30/23
Part B Dispute Resolution Data	11/15/23
Part B Assessment Data	1/10/24
Part B Personnel Data	2/21/24
Part B Exiting Data	2/21/24
Part B Discipline Data	2/21/24
Part B MOE and CEIS Data	8/21/24



A Success Story: Submission of CCD Directory File



EDPass Close

- Due date was May 10 for 7 SY 2022-23 files:
 - FS029 Directory
 - FS039 Grades Offered
 - 5 Charter files
- >130 business rules implemented
- All 60 entities submitted by the due date



EDPass Error Types

Error Group	File Error	Format Error	Data Error	Rule Failure	Grand Total	Rules with data notes
FERR	29				29	
Format and validation	29				29	
FMTE		42	1		43	
Format and Validation		42	1		43	
DGO			16	34	50	
Directory and rosters			16	34	50	29
CHAR			6	8	14	
Directory and rosters			6	8	14	6
Total	29	42	23	42	136	35



EDPass Errors by Entity

- 9 Entities with NO errors
- 13 Entities with <20 errors

Many large counts for the 5-year Charter Contract error that skews results



How are we going to get there?



Tips for Success

- Understanding of the new process and expectations
- Understanding of the impact of the changes and the significance of the data
- Being involved as data stewards at the state level
- Starting the process as early as possible
- Participating in office hours with OSEP – RTP Data Team
- Participating in technical office hours with Partner Support Center
- Using the Business Rules Single Inventory to prepare data submission
- Developing high quality data notes



Office Hours for IDEA Data Collections

- Technical Office Hours with PSC (1): Mondays from 11:00 a.m. – 12:00 p.m. ET
- Technical Office Hours with PSC (2): Tuesdays from 3:00 – 4:00 p.m. ET
- Office Hours with OSEP: Wednesdays from 2:00 – 3:00 p.m. ET



How are the 618 data used in SPP/APR & IDEA Determinations Process?

- Prepopulated for indicators:
 - 1 – Part B Exiting data
 - 2 – Part B Exiting data
 - 3 – Assessment data
 - 5 – Part B Child Count and Educational Environments (school age) data
 - 6 – Part B Child Count and Education Environments (preschool) data
 - 15 & 16 – Part B Dispute Resolution data
- Used in the data rubric:
 - Evaluation of the 618 data submissions for timeliness, completeness, and accuracy (i.e., passing edit checks)
- Used in the Results Matrix:
 - Part B Exiting data
 - Part B Assessment data



618 data Prepopulated into FFY 2022 SPP/APR

Indicator	Data Source	Date of Data Used to Prepopulate	How do confirm counts prepopulated into SPP/APR?
1	Part B Exiting Data	5/24/2023	SY 2021-22 Part B Exiting Data File (<u>will be publicly available Fall of 2023</u>)
2	Part B Exiting Data	5/24/2023	SY 2021-22 Part B Exiting Data File (<u>will be publicly available Fall of 2023</u>)
3	Assessment Data	1/10/24	Review SY 2022-23 data submitted in EDPass
5	Part B Child Count and Educational Environments (school age) Data	8/30/23	Review SY 2022-23 data submitted in EDPass
6	Part B Child Count and Education Environments (preschool) data	8/30/23	Review SY 2022-23 data submitted in EDPass
15 & 16	Part B Dispute Resolution data	11/15/23	Review SY 2022-23 data submitted in EMAPS



Discussion:

Preparing Assessment Data for Indicator 3

- How has your state/entity prepared the assessment data for indicator 3?
- What tools or resources has your state/entity used to prepare the assessment data for indicator 3?
- What tools, resources, information, or assistance will your state/entity need to prepare for the submission of indicator 3 using the 2022-23 Assessment data?



Data Rubric

- OSEP uses the Part B Timely and Accurate State-Reported Data Rubric in the SPP/APR to award points to States based on the timeliness and accuracy of their sections 616 and 618 data. A copy of the rubric is contained in the OSEP Response to the State's SPP/APR. The State's section 618 data is scored based on information provided to OSEP on section 618 data timeliness, completeness, and edit checks at the due date.
- FFY 2021 SPP/APR applicable data & due dates:
 - SY 22-23 Part B Child Count & Ed Env: 8/30/23
 - SY 22-23 Part B Dispute Resolution: 11/15/23
 - SY 22-23 Part B Assessment: 1/10/24
 - SY 22-23 Part B Exiting: 2/21/24
 - SY 22-23 Part B Discipline: 2/21/24
 - SY 22-23 Part B Personnel: 2/21/24
 - FFY 2021/SY 2021-22 Part B MOE and CEIS: 5/3/23
- Data quality reports provided after the due date represent data quality ratings for the purpose of the data rubrics. Once you receive the data quality report from OSEP, please contact OSEP via Partner Support Center if you would like to dispute the data quality ratings for that data submission.



Use of 618 Data in FFY 2022 Part B Results Matrix

618 Data Collection	Data As Of	How to preview the data?
SY 2021-22 Part B Exiting	5/24/2023	SY 2021-22 Part B Exiting Data File (will be publicly available Fall of 2023)
SY 2022-23 Part B Assessment	1/10/24	Review SY 2022-23 data submitted in EDPass



Data Quality Checks

- Business Rules Single Inventory

- Column F (EDPass Rule ID) – search for “IDEA”
- Column N (FS) – file spec number as applicable
- Column R (Definition) – review to understand the rule
- Column V (Error Message) – review to understand the rule
- Additional information:
 - Columns J, K, & L – which level of the data the rule is applicable to
 - Columns T & U – thresholds for the rule
 - Column S - rule logic

- Note: For collections in EMAPS, the User Guide provides the data quality checks as well.



IDEA Section 618 Data Quality Ratings for SY 2021-22 Data Submissions

Number of States With Data Quality Issues

Data Collection	Timeliness	Completeness	Accuracy
Part B Child Count and Ed Env	1	9	5
Part B Personnel	0	4	1
Part B Exiting	0	16	0
Part B Discipline	1	4	4
Part B Assessment	2	8	2
Part B Dispute Resolution	1	0	1
Part B MOE and CEIS	0	5	0



Tips for Addressing Commonly Activated Data Quality Checks

- Ensure metadata matches data reported (*or a clear explanation is provided for why the mismatch is occurring*)
- Report zeros for permitted values/ combinations of permitted values that are applicable to your state at the SEA level
- Do not report zeros for permitted values/ combinations of permitted values that are not applicable to your state.
- Ensure:
 - Detail counts = subtotals
 - Subtotals = grand totals*(or provide a clear explanation why they do not equal)*



Year to Year Data Quality Checks

- Suspended for 20/20% Year to Year Edit for SY 2022-23 data submissions
- OSEP will review for egregious errors, such as switching of reporting categories; reporting exactly same data as the previous year
- For Dispute Resolution:
 - Year to Year reports will still be produced in EMAPS.
 - *** States are encouraged to review these reports to ensure there are no data entry errors.***
- NOTE: OSEP will conduct the Year to Year edit for FFY 2022/SY 2022-23 MOE and CEIS data submission



Process for Submitting a Data Note

- Modernized process for SY 2022-23
 - Data notes are entered in EDPass and submitted with the data to OSEP
 - Do not upload data notes to OMB Max!
 - Applying a data note to a specific DQ rule failure or multiple rule failures will resolve the DQ error and prepare the data for submission



High Quality Data Notes

- Speak explicitly to the data question raised.
- Provide reasons for data anomalies when possible.
- Speak to policy changes.
- Note implementation changes that could affect data.
- Comment on next steps.
- Provide detailed contextual information that allows for improved use and interpretation of state submitted data.



Data Notes Interactive Activity

- On the following slides are examples of state responses.
- Vote on whether the data note is sufficient:



Thumbs up if sufficient



Thumbs down if not sufficient



Data Note #1: Is it sufficient?

Error message

- IDEA-0003: The sum of Children with Disabilities (IDEA) School Age in one or more categories sets or subtotals that include all students did not equal the EUT.

Data note

- The count of males plus the count of females does not equal the total number of children with disabilities reported for this year because our state allows students to report gender under a non-binary gender reporting option. 100 children with disabilities were reported in the non-binary gender reporting option as of this year's child count date.



Data Notes #2: Is it sufficient?

Error message

- IDEA-0001: A count of Children with Disabilities (IDEA) School Age was not reported for one or more permitted value combinations used by the SEA where zero reporting was required.

Data note

- Data are accurate as submitted.



Data Note #3: Is it sufficient?

Error message

- IDEA-0005b: The Children with Disabilities (IDEA) School Age file contained all -1s.

Data note

- We are unable to submit these data at this time.



Data Note #4: Is it sufficient?

Error message

- IDEA-0002: A count of Children with Disabilities (IDEA) School Age was reported for one or more permitted value combinations not used by the SEA where zero reporting was required.

Data note

- There were 3 children who were age 7 and still identified as having development delays as of the state child count date (Oct 1) this year because they started in a new district this year and new district did not have time to identify a new primary disability category for these children.



Discussion Questions

What changes have you made to your processes at the state level to support the submission of IDEA 618 Part B Data under EDFacts Modernization?

Has your coordination with the EDFacts Coordinator changed? If so, how?

What has **worked well** while preparing/ uploading/ submitting your SY 2022-23 Part B Child Count and Educational Environments data (file specs 002 and 089)?

What **challenges** have you had while preparing/ uploading/ submitting your SY 2022-23 Part B Child Count and Educational Environments data (file specs 002 and 089)?



Technical Assistance

- [IDEA Data Center \(IDC\)](#)
- [Center for the Integration of IDEA Data \(CIID\)](#)
- [Center for IDEA Fiscal Report \(CIFR\)](#)
- [Partner Support Center](#)



Resource Spotlight

- [EDFacts Modernization: Planning Questions to Consider | IDC - IDEA Data Center](#)
- CIID Documentation Crosswalk: Differentiated Monitoring and Support (DMS) 2.0 Engagement Phase Activities - [Communities360° - Collaborate > Public Domain Clearinghouse > Documents \(ed.gov\)](#)
- PSC's Writing Good EDFacts Data Notes - [Communities360° - Collaborate > Public Domain Clearinghouse > Documents \(ed.gov\)](#)
- CIFR's [Writing Exemplary Data Notes for Local Educational Agency Maintenance of Effort Reduction Data and Coordinated Early Intervening Services Data – Center for IDEA Fiscal Reporting \(wested.org\)](#)



Resource Spotlight (continued)

- Individuals with Disabilities Education Act (IDEA) website
 - Brings together IDEA information and resources from the Department and its grantees.
 - <https://sites.ed.gov/idea/>
- IDEAS That Work
 - For information from research to practice initiatives funded by OSEP that address the provisions of IDEA and ESSA. This website includes resources, links, and other important information relevant to OSEP's research to practice efforts.
 - <https://osepideasthatwork.org/>



Questions?



2023 OSEP LEADERSHIP AND PROJECT DIRECTORS' CONFERENCE

