

Part C IDEA Section 618 Data Submissions under EDFacts Modernization



2023 OSEP LEADERSHIP AND PROJECT DIRECTORS' CONFERENCE



Panel Members

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Why Are We Modernizing EDFacts?

- Technical reasons: age, security requirements, technology
- Operational reasons:
 - What was not working?
 - Post-submission data quality process was unsustainable and inefficient (ED responsibility)
 - Data not usable at the due date (SEA responsibility)
- Priorities
 - Fix ED data review-and-use processes
 - Provide tools to support State processes
 - Use data at the due date



Goals of EDFacts Modernization

1. improving ED's data review-and-use processes;
2. strengthening State ownership of the quality of the data submitted to ED;
3. improving the quality and useability of data at the due date; and
4. eliminating the resubmissions post due date.



Expectation under ED Facts Modernization

- OSEP expects states/entities to take one of the following two actions for all business rules/ edit checks that are triggered prior to the due date:
 1. revise the data entered into EMAPS to address the edit; or
 2. provide data note addressing why these data submission has triggered the edit.



New for SY 2022-23 IDEA Section 618 Data

- Due dates have been adjusted
- Address business rules/edit checks that are triggered prior to due date
- Submit data notes prior to due date
- No resubmission period



Old DQ Review Process (Used through SY 2021-22)

Initial Close

Final Close



New DQ Review Process

Due Date

Support Prior to Due Date:

- Office Hours
- Partner Support
- Webinars
- Revised Business Rule Single Inventory



Due Dates for 2022-23

618 Data Collection	Due Date
Part C Child Count and Settings Data	8/30/23
Part C Dispute Resolution Data	11/15/23
Part C Exiting Data	2/21/24



How are we going to get there?



Tips for Success

- Understanding of the new process and expectations
- Understanding of the impact of the changes and the significance of the data
- Starting the process as early as possible
- Participating in office hours with OSEP – RTP Data Team
- Participating in technical office hours with Partner Support Center
- Using the Business Rules Single Inventory to prepare data submission
- Developing high quality data notes



Office Hours for IDEA Data Collections

Office Hours with OSEP: Wednesdays from 3:00-3:30 p.m. ET



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How are the 618 data used in SPP/APR & IDEA Determinations Process?

- Prepopulated for indicators:
 - 2 – Part C Child Count & Settings data
 - 5 – Part C Child Count & Settings data
 - 6 – Part C Child Count & Settings data
 - 9 & 10 – Part C Dispute Resolution data
- Used in the data rubric:
 - Evaluation of the 618 data submissions for timeliness, completeness, and accuracy (i.e., passing edit checks)
- Used in the Results Matrix:
 - Part C Exiting data



618 data Prepopulated into FFY 2022 SPP/APR

Indicator	Data Source	Date of Data Used to Prepopulate	How do confirm counts prepopulated into SPP/APR?
2	Part C Child Count and Settings	8/30/23	Review 2022-23 data submitted in EMAPS
5	Part C Child Count and Settings	8/30/23	Review 2022-23 data submitted in EMAPS
6	Part C Child Count and Settings	8/30/23	Review 2022-23 data submitted in EMAPS
9 & 10	Part B Dispute Resolution data	11/15/23	Review SY 2022-23 data submitted in EMAPS



Data Rubric

- OSEP uses the Part C Timely and Accurate State-Reported Data Rubric in the SPP/APR to award points to States based on the timeliness and accuracy of their sections 616 and 618 data. A copy of the rubric is contained in the OSEP Response to the State's SPP/APR. The State's section 618 data is scored based on information provided to OSEP on section 618 data timeliness, completeness, and edit checks at the due date.
- FFY 2021 SPP/APR applicable data & due dates:
 - 2022-23 Part C Child Count & Settings: 8/30/23
 - 2022-23 Part C Dispute Resolution: 11/15/23
 - 2022-23 Part C Exiting: 2/21/24
- Data quality reports provided after the due date represent data quality ratings for the purpose of the data rubrics. Once you receive the data quality report from OSEP, please contact OSEP via Partner Support Center if you would like to dispute the data quality ratings for that data submission.



Use of 618 Data in FFY 2022 Part B Results Matrix

618 Data Collection	Data As Of	How to preview the data?
2021-22 Part C Exiting	5/24/2023	2021-22 Part C Exiting Data File (will be publicly available Fall of 2023)



Data Quality Checks

- Business Rules Single Inventory
- EMAPS User Guides



IDEA Section 618 Data Quality Ratings for 2021-22 Data Submissions

Number of States With Data Quality Issues

Data Collection	Timeliness	Completeness	Accuracy
Part C Child Count and Ed Settings	2	0	4
Part C Exiting	0	0	0
Part C Dispute Resolution	0	1	0



Tips for Addressing Commonly Activated Data Quality Checks

- Ensure:
 - Detail counts = subtotals
 - Subtotals = grand totals*(or provide a clear explanation)*

Note: This edit applies to both the cumulative count data as well as the single date child count data.

- Review Year to Year report to ensure the exact same counts are not reported when compared to previous year *(or provide a clear explanation)*



Year to Year Data Quality Checks

- Suspended for 20/20% Year to Year Edit for 2022-23 data submissions
- OSEP will review for egregious errors, such as switching of reporting categories; reporting exactly same data as the previous year
- Year to Year reports will still be produced in EMAPS.
- *** States are encouraged to review these reports to ensure there are no data entry errors.***



Completing the Part C Child Count and Settings Survey

New for SY 2022-23! Business rules have been assigned rule IDs to allow states to respond to each error in the comment field individually. The SY 2022-23 BRSI has been updated to include these business rules.

New for SY 2022-23! OSEP is requesting all data notes be entered into the EMAPS survey in the comment box on the **Review and Submit** form with a reference to the rule ID that is firing with a detailed explanation regarding why data are accurately reported.

E.2. Cumulative Count of Infants and Toddlers, Ages Birth through 2, by Gender

Gender	Total	Percentage
Male	300	75
Female	100	25
Total (Birth through 2)	400	100

Comment

Please note that the data entered result in the following relationships which violate edit checks: PartC-CC-001: Child Count Date does not fall into proper range, PartC-CC-040: Total number of Infants and Toddlers by Age <> Total number of Infants and Toddlers by Gender, PartC-CC-042: Total number of Infants and Toddlers by Race <> Total number of Infants and Toddlers by Gender

Please provide information to address edit checks violated above

PartC-CC-040: State law allows reporting by more than 2 genders. The counts of students by gender do not equal the counts by race/ethnicity because of this
PartC-CC-042: State law allows reporting by more than 2 genders. The counts of students by gender do not equal the counts by race/ethnicity because of this

4000 Character Limit

SUBMIT



Process for Submitting a Data Note

- Modernized process for SY 2022-23
 - Data notes are to be entered into EMAPS and submitted with the data
 - Apply data notes to specific business rule failures by rule IDs
 - Do not upload data notes to OMB Max!



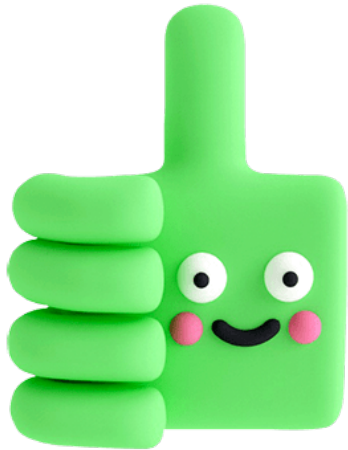
High Quality Data Notes

- Speak explicitly to the data question raised.
- Provide reasons for data anomalies when possible.
- Speak to policy changes.
- Note implementation changes that could affect data.
- Comment on next steps.
- Provide detailed contextual information that allows for improved use and interpretation of state submitted data.



Data Notes Interactive Activity

- On the following slides are examples of state responses.
- Vote on whether the data note is sufficient:



Thumbs up if sufficient



Thumbs down if not sufficient



Data Note #1: Is it sufficient?

Error message

- The total number of infants and toddlers, ages birth through 2, by age does not equal the total number of infants and toddlers by gender.

Data note

- The count of males plus the count of females does not equal the total number of infants and toddlers with disabilities reported for this year because our state allows children/families to report gender under a non-binary gender reporting option. 100 infants and toddlers with disabilities were reported in the non-binary gender reporting option as of this year's child count date.



Data Notes #2: Is it sufficient?

Error message

- The total number of infants and toddlers, ages birth through 2, by race/ethnicity does not equal the total number of infants and toddlers by gender.

Data note

- Data are accurate as submitted.



Data Note #3: Is it sufficient?

Error message

- Data was not reported for the entire collection: Part C Child Count and Settings by the due date.

Data note

- We are unable to submit these data at this time.



Data Note #4: Is it sufficient?

Error message

- Total number of Infants and Toddlers by Age <> Total number of Infants and Toddlers by Race.

Data note

- There were 3 children who did not have a race/ ethnicity identified in our data systems as of the state child count date (Dec 1). We are putting procedures in place to prevent this from occurring in the future.



Discussion Questions

What changes have you made to your processes at the state level to support the submission of IDEA 618 Part C Data under EDFacts Modernization?

What has worked well while preparing/ entering/ submitting your 2022-23 Part C Child Count and Settings data in EMAPS?

What challenges have you had while preparing/ entering/ submitting your 2022-23 Part C Child Count and Settings data in EMAPS?



Technical Assistance

- [The Center for IDEA Early Childhood Data Systems](#)
- [Partner Support Center](#)



Resource Spotlight

- [IDEA Part C EMAPS CC and Settings Data Tool | DaSy Center](#)
- [IDEA Part C Data Notes | DaSy Center](#)
- PSC's Writing Good EDFacts Data Notes - [Communities360° - Collaborate > Public Domain Clearinghouse > Documents \(ed.gov\)](#)
- CIFR's [Writing Exemplary Data Notes for Local Educational Agency Maintenance of Effort Reduction Data and Coordinated Early Intervening Services Data – Center for IDEA Fiscal Reporting \(wested.org\)](#)



Resource Spotlight (cont.)

- Individuals with Disabilities Education Act (IDEA) website
 - Brings together IDEA information and resources from the Department and its grantees.
 - <https://sites.ed.gov/idea/>
- IDEAS That Work
 - For information from research to practice initiatives funded by OSEP that address the provisions of IDEA and ESSA. This website includes resources, links, and other important information relevant to OSEP's research to practice efforts.
 - <https://osepideasthatwork.org/>



Questions?



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