DMS 2.0 Universal TA Call

February 25, 2021
Logistics

▶ All attendees will be muted for the majority of this webinar.
  • At times, you may be prompted to “raise your hand” if you would like to ask a question. You will be unmuted and re-muted by an organizer.
▶ To submit questions for speakers, enter your question in the “Questions” dropdown in your control panel.

Virtual Meeting/Conference Recording Notice: The American Institutes for Research (AIR) allows for the recording of audio, visuals, participants, and other information sent, verbalized, or utilized during business related meetings. By joining a meeting, you automatically consent to such recordings. Any participant who prefers to participate via audio only should disable their video camera so only their audio will be captured. Video and/or audio recordings of any AIR session shall not be transmitted to an external third party without the permission.
Agenda

- DMS Pivot Activities
- Monthly Calls
- Updated Guidance and Technical Assistance
- Commitment to DMS 2.0
- Logic Model and DMS 2.0 Road Map
- Upcoming Activities
On February 11, OSEP announced the Pivot

OSEP’s monitoring and technical assistance (TA) will focus on addressing issues related to COVID-19:

- School/Preschool reentry, resumption/continuation of early intervention services (EIS) and recovery from the pandemic
- Holding States accountable for meeting the needs of infants, toddlers, children and youth with disabilities and their families.
- Effective delivery of virtual, hybrid, or other models of service delivery due to the social distancing requirements in many States
- Use of ESSER, GEAR and IDEA funds to address issues related to the Pandemic

Remain committed to DMS 2.0
DMS Pivot Activities

- Follow up on OSEP’s initial review of State COVID-19 policies
- Monthly calls with ALL States on COVID-19 policies and efforts to ensure that Early Intervention (EI) services, preschool, special education and related services are being provided in accordance with IDEA
- Review of existing OSEP guidance and issuing of new guidance as appropriate
- Collaboration with Research to Practice and OSEP funded TA providers to provide necessary support to States
- Collaboration with our Stakeholders
Monthly Calls

▶ Follow up on OSEP’s initial review of State COVID-19 policies
  • Contact States where concerns were validated by OSEP Review
    • May request updated information
  • Provide targeted TA to States with confusing/unclear COVID plans
    • May request COVID guidance documents if not posted publicly

▶ Monthly calls with States on:
  • COVID-19 policies
  • Reopening plans (in-person instruction; onsite but virtual; hybrid)
  • Ensuring EI services, preschool, special education and related services are being provided in accordance with IDEA
    • General supervision responsibilities with local EI providers, preschool and LEAs
Updated Guidance & Technical Assistance

► Review of existing OSEP guidance and issuing of new guidance as appropriate. Some topics under consideration:
  • Compensatory services
  • Part C to B transition
  • Fiscal issues
  • Addressing Mental health/behavioral challenges with return to school.

► Collaboration with Research to Practice and OSEP funded TA providers to provide necessary support to States
Commitment to DMS 2.0

- Finalize DMS materials and procedures and prepare for monitoring activities in October 2021
- Continue with stakeholder engagement activities
- Continue Universal TA calls covering topics related to DMS and State needs related to the current pandemic
- Encourage all States to use the protocols as they are released as Universal TA, and to engage with their TA providers
Differentiated Monitoring and Support (DMS) System

DMS 2.0 FRAMEWORK w/Intended Outcomes

**Overview**

Based on an evaluation of the effectiveness of the previous iteration of the DMS system, OSEP announced a change to the DMS process starting with Federal fiscal year (FFY) 2021 (beginning on October 1, 2020). Beginning in FFY 2021, Part B, 619, and Part C programs in States will be monitored by OSEP on cyclical basis. All States will be monitored on general supervision, with additional components determined based upon the needs of the State and other available information. As explained in detail below, OSEP’s monitoring will be conducted in three phases lasting up to one-year each. As a result of the pandemic and school/program needs, OSEP pivoted some of the DMS activities in order to provide guidance and support to States.

**Vision**

Driving excellent outcomes for infants, toddlers, children, and youth with disabilities and their families through monitoring and supporting States.

**Mission**

Through monitoring, technical assistance, and dissemination of information to States, families and other stakeholders, MSIP ensures that the rights afforded under IDEA to eligible infants, toddlers, children and youth with disabilities, and their families, are assured through implementation of Statewide systems that incorporate effective strategies for improving functional and educational outcomes that prepare and provide children with options for further education, employment and independent living.

**Logic Model**

<table>
<thead>
<tr>
<th>Inputs</th>
<th>Processes</th>
<th>Output</th>
<th>Outcomes-Short-Term</th>
<th>Results-Mid-Term</th>
<th>Impacts-Long-Term</th>
</tr>
</thead>
<tbody>
<tr>
<td>SPP/APR Review</td>
<td>Phase 1: Pre-site Work</td>
<td>Consult with States, review protocols, prepare for Phase 2</td>
<td>Identify Issues or Areas of Concerns</td>
<td>Ensure OSEP is fulfilling its responsibilities to monitor grantees</td>
<td>Improved outcomes and results for infants, toddlers, children and youth with disabilities and their families</td>
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<tr>
<td>DMS 2.0</td>
<td>Phase 2: On-site/Virtual Work</td>
<td>Evaluate implementation, interview local programs</td>
<td>Develop plans for Follow-up</td>
<td>Align results and compliance in OSEP monitoring</td>
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<tr>
<td>Grant Applications</td>
<td>Phase 3: Follow-up and Verification</td>
<td>Ensure correction of outstanding findings, engage in follow-up TA to address performance needs</td>
<td>Present transparent and comprehensible information to the public</td>
<td>Provide differentiated and meaningful support and technical assistance to States</td>
<td></td>
</tr>
</tbody>
</table>

**Results-Short-Term**

Ensure OSEP is fulfilling its responsibilities to monitor grantees.

**Results-Mid-Term**

Align results and compliance in OSEP monitoring.

**Results-Long-Term**

Provide differentiated and meaningful support and technical assistance to States.
Differentiated Monitoring and Support Systems (DMS)

DMS 2.0 Schedule

- **Year 1**: School Re-opening and Pandemic Support
- **Year 2**: Cohort 1 (16 States): Phase 1 Pre-site Monitoring
- **Year 3**: Cohort 2 (24 States): Phase 2 Onsite/Virtual Monitoring
- **Year 4**: Cohort 1 (16 States): Phase 3 Follow-up and Close-Out
- **Year 5**: Cohort 2 (24 States): Phase 3 Follow-up and Close-Out
- **Year 6**: Cohort 3 (25 States): Phase 2 Onsite/Virtual Monitoring
- **Year 7**: Cohort 4 (28 States): Phase 2 Onsite/Virtual Monitoring
- **Year 8**: Cohort 5 (23 States): Phase 3 Follow-up and Close-Out

**Evaluation Process**

- 2020-2021: DMS 2.0 Development/Feedback Engagement
- 2022-2023: Universal TA Support
- 2023-2024: Cohort 1 (16 States): Phase 1 Pre-site Monitoring
- 2024-2025: Cohort 2 (24 States): Phase 1 Pre-site Monitoring
- 2025-2026: Cohort 3 (25 States): Phase 1 Pre-site Monitoring
- 2026-2027: Cohort 4 (28 States): Phase 1 Pre-site Monitoring
- 2027-2028: Cohort 5 (23 States): Phase 1 Pre-site Monitoring

**All States Monitored**: 2022-2023
DMS 2.0 ROADMAP 2021

Driving excellent outcomes for infants, toddlers, children, and youth with disabilities and their families through monitoring and supporting States.

MILESTONE
DMS 2.0 Pivot Plan Development

MILESTONE
DMS 2.0 Pivot Roll-Out

MILESTONE
DMS 2.0 Protocol Feedback

MILESTONE
DMS 2.0 Protocol Training and State Engagement

MILESTONE
Preparation for DMS 2.0 Fall Engagement

MILESTONE
State Communication about COVID-19 work

MILESTONE
COVID-19 Training and State Engagement

MILESTONE
Post Final Protocols and Conduct Trainings

MILESTONE
Cohort 1: Phase 1 Pre-site Work

MILESTONE
COVID-19 work

MILESTONE
Universal TA for all States on DMS 2.0

Q1
DMS 2.0 Pivot Plan Development and Implementation
Roll-out
Re-opening
Guidance Resources

Q2
DMS 2.0 Protocol and Process Feedback
DMS 2.0 Training
COVID-19 Training and State Engagement

Q3
Preparation for Fall Engagement
State Communication
COVID-19 Work
Post Final Protocols

Q4
Cohort 1: Pre-site Work
COVID-19 Work
Universal TA
DMS 2.0 ROADMAP 2022

Driving excellent outcomes for infants, toddlers, children, and youth with disabilities and their families through monitoring and supporting States.

DMS 2.0 Pivot
Year Two

DEC
MILESTONE
Cohort 1: Pre-site Work

JAN
MILESTONE
DMS 2.0 Phase 2 Product Development

FEB
MILESTONE
Cohort 1: Pre-site Work

MILESTONE
DMS 2.0 Phase 2 Product Development

MAR
MILESTONE
Universal TA for all States on DMS 2.0

MILESTONE
Universal TA for all States on DMS 2.0

APR
MILESTONE
Universal TA for all States on DMS 2.0

MILESTONE
Cohort 1: Prepare for Phase 2—Onsite/Virtual Monitoring

MILESTONE
Cohort 1: Pre-site Work

MILESTONE
DMS 2.0 Phase 2 Process Training

MILESTONE
Cohort 1: Prepare for Phase 2—Onsite/Virtual Monitoring

MILESTONE
Cohort 1: Pre-site Work

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Cohort 1: Phase 2—Onsite/Virtual Monitoring

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Cohort 2: Prepare for Phase 1—Pre-site Work

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Cohort 1: Phase 2—Onsite/Virtual Monitoring

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Cohort 2: Prepare for Phase 1—Pre-site Work

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Cohort 1: Phase 2 Activities

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Cohort 2: Phase 1 Activities

JUL
MILESTONE
Universal TA for all States on DMS 2.0

MILESTONE
Cohort 1: Phase 2—Onsite/Virtual Monitoring

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Cohort 1: Pre-site Work

MILESTONE
Universal TA for all States on DMS 2.0

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Cohort 2: Prepare for Phase 1—Pre-site Work

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Cohort 1: Phase 2 Activities

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Cohort 2: Phase 1 Activities

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Universal TA for all States on DMS 2.0

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Cohort 1: Phase 2 Activities

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Cohort 2: Phase 1 Activities

MILESTONE
Universal TA for all States on DMS 2.0

MILESTONE
Cohort 1: Phase 2—Onsite/Virtual Monitoring

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Cohort 1: Pre-site Work

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Universal TA for all States on DMS 2.0

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Cohort 2: Prepare for Phase 1—Pre-site Work

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Cohort 1: Phase 2 Activities

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Cohort 2: Phase 1 Activities

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Universal TA for all States on DMS 2.0

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Cohort 1: Phase 2 Activities

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Cohort 2: Phase 1 Activities

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Universal TA for all States on DMS 2.0

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Cohort 1: Phase 2—Onsite/Virtual Monitoring

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Cohort 1: Pre-site Work

MILESTONE
Universal TA for all States on DMS 2.0

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Cohort 2: Prepare for Phase 1—Pre-site Work

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Cohort 1: Phase 2 Activities

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Cohort 2: Phase 1 Activities

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Universal TA for all States on DMS 2.0

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Cohort 1: Phase 2 Activities

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Cohort 2: Phase 1 Activities

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Universal TA for all States on DMS 2.0

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Cohort 1: Phase 2—Onsite/Virtual Monitoring

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Cohort 1: Pre-site Work

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Universal TA for all States on DMS 2.0

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Universal TA for all States on DMS 2.0

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Cohort 1: Phase 2—Onsite/Virtual Monitoring

MILESTONE
Cohort 1: Pre-site Work

MILESTONE
Universal TA for all States on DMS 2.0

MILESTONE
Cohort 2: Prepare for Phase 1—Pre-site Work

MILESTONE
Cohort 1: Phase 2 Activities

MILESTONE
Cohort 2: Phase 1 Activities

Q1
Cohort 1: Pre-site Work
Phase 2 Product Development
Universal TA

Q2
Cohort 1: Pre-site Work
DMS Phase 2 Process Training
Universal TA

Q3
Cohort 1: Prep for Phase 2
Cohort 2: Prep for Phase 1
Universal TA

Q4
Cohort 1: Phase 2 Activities
Cohort 2: Phase 1 Activities
Universal TA
Release of Cohorts

▸ Cohorts 1 and 2 have already been notified

▸ Notify States in remaining cohorts 1 year in advance of Phase 1:
  • Cohort 3: September 2022
  • Cohort 4: September 2023
  • Cohort 5: September 2024
Upcoming Activities

- MSIP and our OSEP funded TA Centers will be engaging in collaborative presentations related to COVID-19 guidance.

- Technical Assistance Centers will be facilitating feedback sessions on our protocols over the next few months.

- Our next DMS 2.0 Universal TA Call is scheduled for 3/25, 4PM:
  - OSEP will provide information about component specific protocols.
  - OSEP Funded TA Centers will spotlight relevant TA resources.
Questions, Feedback, TA Highlight

► Any Questions or Feedback

► OSEP Funded Technical Assistance Center Highlight
  • [ECTA](#) — Anne Lucas
  • [DaSY](#) — Grace Kelly
OSEP DMS 2.0

Grace Kelley
Katy McCullough
Anne Lucas

February 25, 2021
ECTA Center

The ECTA Center supports state Part C and Section 619 programs in developing high-quality early intervention and preschool special education service systems, increasing local implementation of evidence-based practices, and enhancing outcomes for young children with disabilities and their families.

Contact your ECTA State Contact
The DaSy Center works with states to support IDEA Part C and Section 619 programs in building high-quality data systems and using data to improve results for young children with disabilities and their families.

- Improve state capacity to collect, report, analyze, and use high-quality data
- Enhance, streamline, and integrate child level early childhood data systems to address critical policy questions that will facilitate program improvement, improve compliance accountability, and improve results

Contact your DaSy Liaison
We can support your state through the DMS Cycle as you:

- review and enhance your state system
- prepare information related to the DMS protocols
- identify evidence to support the DMS protocol
- collect, report and analyze data
- review prepared documents
- develop and implement improvement plans in response to issues or findings
- engage and inform stakeholders
Part C DMS 2.0 Activities

- Collaborate with TA partners and OSEP to identify needed resources and supports for states
- Provide individualized TA support
- Convene monthly calls with Cohort 1
- Offer upcoming support for Cohort 2
- Provide information and resources related to DMS Protocols and pivot to Covid-19 policies and guidance between now and October
- Offer upcoming support for future Cohorts

Contact your TA center staff
General Resources for DMS 2.0
A System Framework
System Framework

System Framework: Quick Start Guide
- designed to help state leaders scan their system and determine which component(s) of the System Framework might be most helpful for in-depth assessment.

System Framework Self Assessment
- downloadable, editable tool to allow state leaders to engage in meaningful, comprehensive work to improve their systems

System Framework
- guides Part C and Section 619 Coordinators and their staff in: (1) evaluating their current systems; (2) identifying potential areas for improvement, and; (3) developing more effective, efficient systems
The purpose of this document is to help state staff

- answer critical questions about their EI and Section 619 programs with their data systems,
- take stock of where their data systems are relative to being able to provide the data to answer these important questions,
- and begin to plan for how-to improve the power of their data system

DaSy Stakeholder Knowledge Toolkit: Building Knowledge about Data

Participating in conversations about how your state collects, analyzes, and uses data to improve results for children and families will provide staff and stakeholders with a deeper understanding of the data.

Use this to support your data informed decisions related to the DSM protocols

Overview
- Introduction
- Data as information
- IDEA Section 618 data
- SPP/APR
- SSIP
- Data privacy & confidentiality
- Data linkages
Preparing for your Next Virtual Stakeholder Meeting

This webinar will help you to effectively use technology to plan and facilitate your next virtual meeting.

Use to engage staff in virtual discussions about Part C and 619 data.
Finance

Resources for DMS 2.0
Part C Finance 101 Modules

10 brief modules on Federal fiscal requirements that accompany IDEA Part C funds

Use to understand the fiscal requirements to support development of internal documents and/or respond to findings

https://etectcenter.org/topics/finance/fiscal101.asp
Collecting and Tracking Maintenance of Effort (MOE) Data

Comprehensive overview of MOE requirements, including critical questions to understand implementation

Tool for tracking MOE data (expenditures to budget by funding source) from year to year

Use to understand the fiscal requirements to support development of internal documents and/or respond to findings

https://ectacenter.org/topics/finance/moe.asp
Fiscal Data Profile Template

Converts raw program-level fiscal data into engaging graphic displays

Use for budget development and management, to conduct general supervision and garner political will

https://dasycenter.org/fiscal-data-profile-template/
System of Payments (SoP) Monitoring Protocol Worksheet

Based on OSEP SoP Monitoring Protocol (2016)

Use to enhance internal processes for monitoring implementation of System of Payment (SoP) policies statewide

https://ectacenter.org/~docs/topics/finance/SOPProtocolWorksheet_20180731.docx
Integrated Monitoring, Sustaining Compliance and Improvement
Improving Part C Results and Compliance: A Six-Step Inquiry Cycle

Six-step inquiry process for a state accountability and monitoring system to focus on results while ensuring compliance. Each step includes:

- Activities
- Tips for Avoiding Pitfalls

Use to assess state monitoring processes and sustaining progress and improvement

https://ectacenter.org/topics/gensup/improvingcompliance.asp
Guide for monitoring and ensuring compliance with IDEA requirements:
1) Identifying noncompliance
2) Making findings of noncompliance
3) Correcting noncompliance
4) Verifying correction of noncompliance
5) Reporting on compliance, correction and verification of noncompliance

Use to assess and improve processes for identifying, correcting and reporting noncompliance
Determining the Extent/Level of an Issue and Its Resolution

Guide on conducting data analyses to identify extent/level of an issue/noncompliance to determine:

- Required actions to ensure correction of noncompliance and improvement
- Data needed to verify resolution/correction

Use to improve analysis of monitoring data in making decisions on required actions and data needed for verification of correction of noncompliance

SPP/APR indicator questions for use in identifying contributing factors of performance issues/noncompliance to develop strategies for improvement and/or correction. Questions address:

- Policies and procedures
- Funding
- Training and technical assistance
- Supervision
- Data
- Personnel
- Provider practice

### Local Contributing Factor Tools for SPP/APR Indicators

<table>
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<tr>
<th>SPP/APR Indicator</th>
<th>System/Infrastructure</th>
<th>Providers/Practice</th>
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<tbody>
<tr>
<td>SPP/APR Indicator C-1:</td>
<td>Percent of children and families who receive the early intervention services in a timely manner.</td>
<td>How do we ensure that services are provided in a timely manner?</td>
</tr>
<tr>
<td>SPP/APR Indicator C-2, C-4, C-5, C-6:</td>
<td>Collecting and Using Valid and Reliable Data to Determine Underlying Factors Impacting Local Performance to Develop Meaningful Improvement Plans</td>
<td>Do we have clear policies and procedures in place related to the provision of timely services?</td>
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<tr>
<td></td>
<td></td>
<td>Do we have clear policies and procedures in place related to the timely provision of services?</td>
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<td>The needs of the child and family are met, and families have a shared understanding of policies and procedures related to providing timely services.</td>
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<td></td>
<td>If SPP services are provided to address the needs of the child, services are fast tracked and caregivers are facilitating their child’s developmental needs.</td>
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</tbody>
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[https://ectacenter.org/~docs/topics/gensup/13-LocalContributingFactor-Compliance_6-2-09Final.doc](https://ectacenter.org/~docs/topics/gensup/13-LocalContributingFactor-Compliance_6-2-09Final.doc)
[https://ectacenter.org/~docs/topics/gensup/14-ContributingFactor-Results_Final_28Mar12.doc](https://ectacenter.org/~docs/topics/gensup/14-ContributingFactor-Results_Final_28Mar12.doc)
Upcoming TA Activities and Resources
Upcoming TA Activities and Resources

• Upcoming working series (DaSy/ECTA/NCSI)
  – Establishing an Effective Monitoring System for Results and Compliance
  – Incorporating Results in Local Determinations
• Tracking Correction of Noncompliance Spread Sheet (DaSy/ECTA)
• Part C Fiscal Monitoring Cohort (ECTA/DaSy)
• Part C Fiscal Monitoring Indicators on Implementation of System of Payments (ECTA)