DMS 2.0 December Call

DMS National TA Call
Logistics

- All attendees will be muted for the majority of this webinar.
  - At times, you may be prompted to “raise your hand” if you would like to ask a question. You will be unmuted and re-muted by an organizer.
- To submit questions for speakers, enter your question in the “Questions” dropdown in your control panel.

Virtual Meeting/Conference Recording Notice: The American Institutes for Research (AIR) allows for the recording of audio, visuals, participants, and other information sent, verbalized, or utilized during business related meetings. By joining a meeting, you automatically consent to such recordings. Any participant who prefers to participate via audio only should disable their video camera so only their audio will be captured. Video and/or audio recordings of any AIR session shall not be transmitted to an external third party without the permission.
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Agenda

- General Supervision and DMS
- Review of DMS Components
- Phase 1 Expectations
- Evidence and Expectations for State Lead Calls
- Addressing Noncompliance
- DMS Framework
- Phase 2 Preview
- How Should I Prepare if my State is not in Cohort I?
- Supports for States
Focus of DMS is on State systems of General Supervision with the goal of:

(1) Improving educational results and functional outcomes for all children with disabilities; and

(2) Ensuring that public agencies meet the program requirements under Part B/Part C of the Act, with a particular emphasis on those requirements that are most closely related to improving educational results for children with disabilities.

34 C.F.R. § 300.600(b); 34 C.F.R. § 303.700(b)
Results in DMS

- DMS 2.0 will address results and compliance as integrated components
- General Supervision includes working with local programs towards improved results
- Protocols address improved results and performance
- The ultimate goal of DMS 2.0 is improved outcomes for infants, toddlers, children and youth with disabilities.
8 Key Components
Three Phases of Monitoring – Year 1

Year 1: Pre-site Work and Preparation

In the year prior to the scheduled monitoring visit, the State Lead, in consultation with team members, will begin working with the State to prepare for the visit.

- Requests for documents related to OSEP’s protocols.
- Review of publicly available information by the State Lead
- Targeted interviews with State staff
While only Cohort 1 States are being monitored during this year, all States will be provided Universal TA around DMS 2.0 and the areas being addressed during the monitoring.

The monthly DMS National TA calls are designed to introduce all States to the protocols we are using and the underlying requirements and issues they address.

The protocols, while designed as monitoring tools, may be used by States as self assessments, if they choose.
Phase I Schedule

- **Fiscal Management:** Oct.–Nov.
- **Integrated Monitoring Sustaining Compliance & Improvement:** Jan.–Feb.
- **Dispute Resolution:** May–June
- **Prep for Phase II Outstanding Areas:** September
- **Implementation of Policies and Procedures TA and PD:** Mar.–Apr.
- **Data SPP/APR:** July–Aug.
DMS 2.0 Process

- The purpose of Phase 1 is for OSEP to develop an understanding of a State’s General Supervision System and allow for collaborative discussion around the key components.

- Activities are not intended to be focused on the identification of noncompliance; however, we do have obligation to address any noncompliance we discover.

- We will be rolling out a process for notifying States of noncompliance and ensuring correction of noncompliance, in a timely fashion.
Addressing Noncompliance

- Streamlined process for identification and notification
- Focus on policy and procedures during Phase 1
Evidence and State Lead Call Expectations

- Evidence and Document Requests
- Agenda and Call Participants
- Stakeholder and Local Participation
- TA Partners
State Lead Call Expectations Continued

- State leads will schedule one or more calls per month for each component.

- These calls will help OSEP gather the information we need for each key component AND to discuss the relevant requirements and best practices.

- State Leads will provide an agenda prior to each call, clarifying which areas of the protocols will be addressed, and indicate who may need to be on the call.
Upcoming Protocols and DMS Framework

- We are working hard to finalize protocols with the other DMS components, starting with Integrated Monitoring and Sustaining Compliance and Improvement.
- Recognize that all States would like to see the protocols.
- In the interim we plan on posting this DMS Framework.
Phase 2

- Phase 2 activities are being actively developed and planned.
- Phase 2 was originally intended to be on-site and will be adapted based on the status of the pandemic.
- Phase 1 discovery will help to develop an agenda for Phase 2 that will allow for follow up and a deeper dive on different components.
- Stakeholder feedback is included in Phase 2 activities.
- Local program involvement in our monitoring activities may be needed to understand how the general supervision system is being implemented.
- Additional information will be shared.
Preparing for DMS

- States that are not in Cohort 1 can prepare for future monitoring activities by reviewing the posted Protocols.
- Participate in monthly DMS TA Calls and discussions with your State Lead.
- Engage with TA partners.
- Examine and Review previously issued OSEP monitoring letters.
OSEP Supports During DMS 2.0

- OSEP funded TA Centers
- Access to State Leads
- DMS Cohort Specific Monthly Calls
- Universal Monthly DMS Calls
Opportunity for State Input

OSEP wants to hear State questions and comments

To ensure continuous improvement OSEP will be integrating State feedback into our DMS 2.0 Process
State Questions and Feedback