

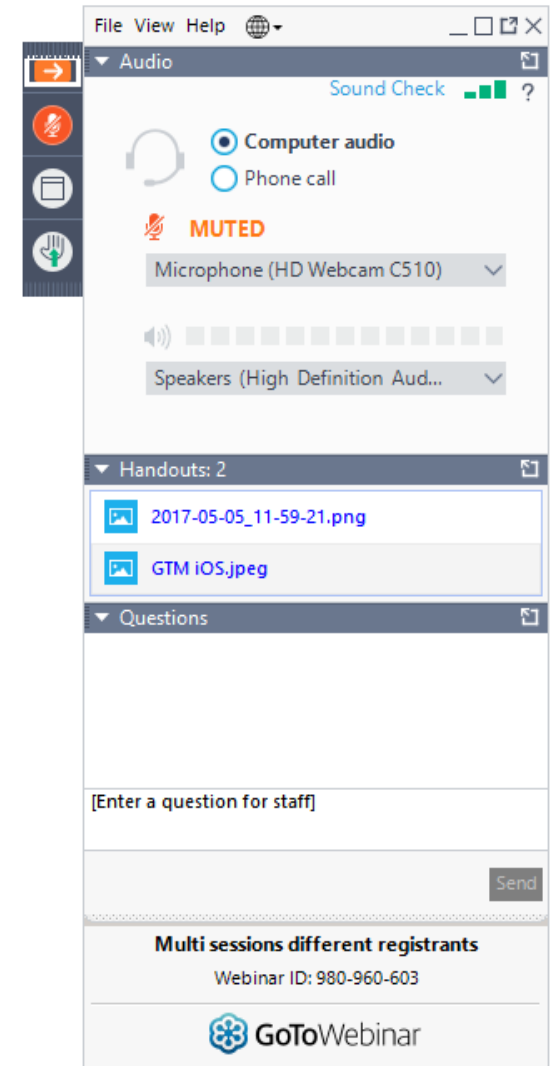
FFY 2021 IDEA GRANT APPLICATION PROCESS

OSEP NATIONAL TA CALL

JANUARY 21, 2021

Logistics

- ▶ All attendees will be muted for the majority of this webinar.
 - At times, you may be prompted to “raise your hand” if you would like to ask a question. You will be unmuted and re-muted by an organizer.
- ▶ To submit questions for speakers, enter your question in the “Questions” dropdown in your control panel.



Virtual Meeting/Conference Recording Notice: The American Institutes for Research (AIR) allows for the recording of audio, visuals, participants, and other information sent, verbalized, or utilized during business related meetings. By joining a meeting, you automatically consent to such recordings. Any participant who prefers to participate via audio only should disable their video camera so only their audio will be captured. Video and/or audio recordings of any AIR session shall not be transmitted to an external third party without the permission.

Agenda

- ▶ Introduction
- ▶ Grant Package Components
- ▶ Important Highlights-FFY 2021 Grant Memo
- ▶ Grant Application Templates
 - Part B
 - Part C
- ▶ Introduction of David Cantrell, Acting Director, OSEP

FFY 2021 Grant Packages

▶ Main components

- Grant Memo
 - Due Date, Public Participation, Special Instructions, Submission Requirement
- Instructions
 - Guidance for completing the application template
- Application Template
 - Type of Approval; Responses to Assurance; Signature
 - Financial information - Budget/Interactive Spreadsheet

FFY 2021 Grant Packages-Supporting Docs

Part C

- ▶ Indirect Cost
- ▶ Policy Checklists
- ▶ Topic Brief-Public Participation

Part B

- ▶ Technical Assistance Checklist
- ▶ Year of Age Cohort
- ▶ Topic Brief-Public Participation

Allocation Tables

- ▶ On December 21, 2020, the Congress passed the Consolidated Appropriations Act, 2021, which included the Department of Education Appropriations Act, 2021
- ▶ Special Education Grants to States
 - \$12.9 billion, up \$173.1 million over the FY 2020 level
- ▶ Preschool Grants
 - \$397.6 million, \$3.5 million more than the FY 2020 level
- ▶ Grants for Infants and Families
 - \$481.9 million, an increase of \$4.9 million over the FY 2020 level

Extended Part C Option

- ▶ In any fiscal year in which the appropriation for Part C exceeds \$460 million, the statute includes authority for the Department to reserve 15 percent of the amount above \$460 million for a State Incentive Grants program.
- ▶ Purpose: provide funding to assist States that have elected to extend eligibility for Part C services to children with disabilities aged 3 years until entrance into kindergarten or elementary school, or for a portion of this period.
- ▶ No State can receive more than 20 percent of the amount available for State Incentive Grants in a fiscal year and awards are based on the relative population of the States that apply.

Extended Part C Option

- ▶ Please contact your State Lead, prior to the grant application due date, if you would like to explore the Extended Part C Option
- ▶ OSEP will arrange a TA call with you and your Part B colleagues to discuss the partnership necessary to implement the option and receive the SIG

Allocation Tables

- ▶ Still waiting for information from Budget Services
- ▶ Implications for Public Participation
 - Prepare application and include FFY 2020 allocation information
 - Part C: Include FFY 2020 Section III with FFY 2021 application
 - Part B: Include FFY 2020 Interactive Spreadsheet with FFY 2021 application
- ▶ Upon receipt of allocation tables:
 - Insert updated info with application and repost
 - Resume public participation

Important Dates

Part C

▶ Application

- Due: May 7, 2021
- If needed:
 - New/Revised policies
 - Indirect Cost

▶ Public Participation

- No later than March 7, 2021

Part B

▶ Application

- Due: May 21, 2021
- If needed:
 - Year of Age Cohort

▶ Public Participation:

- No later than March 21, 2021

Public Participation

▶ Application

- Publish application for at least 60 days prior to submission to OSEP
- Allow public comment for 30 days during the 60 day period

▶ New/revised policies and procedures

- Consult with State Lead for guidance regarding policy
- Publish for 60 days and accept comments for 30 days prior to submission to OSEP
- Hold hearings

Grant Flexibilities

▶ Public Participation

- Virtual hearings are permissible again this year, however consult with State Attorney's office to ensure allowable under State rules
- Guidance in Grant Memo and Public Participation Topic Briefs

▶ Application Submission

- Send OSEP an email, from a State Government email address, with a **pdf copy of application with electronic signature** by application due date
- Mail hard copy application no later than August 2, 2021
- OSEP will conduct Signature Verification process

▶ See Submission section of grant memo for more details

Application Template

Part C

Section I

Section IA: Submission Statement

1. Full Approval

- Select if “yes” responses to all assurances

2. Conditional Approval

- Select if “no and date” response to an assurance

3. Optional

- Use only if submitting a policy/procedure

Section IB: Conditional Approval for Current Grant Year (FFY 2020)

(Only use if conditional approval last year (FFY 2020))

1. Conditional Approval related to Section IIA Assurances

2. Conditional Approval related to Other Issues

Section II A - Policies/Procedures/Methods

Changes to responses in Section II, after submission of grant, requires a new signature.

- ▶ Three response options in “yes” column:
 - N – New: Providing a policy for the first time
 - R – Revised: Providing a revision to a previously approved policy
 - OF - On file: Policy is “on file” with OSEP
- ▶ “No” column: one response option
 - Insert a date no later than 6/30/2022 for completion of the policy

Section II B–Assurances & Optional Assurances

- ▶ Provide a “yes” or “no” response
- ▶ “No” responses require a date by which the State will be able to provide the assurance
- ▶ Contact your State Lead if you plan to insert a “no” response in II B.

Section II C and D

II C : Certifications

- ▶ Only “yes” responses are permissible

II D : Statement (Signature Page)

- ▶ Insert State name (two areas); name of State agency; name and title of lead agency director or their designee; signature; and date

Section III – Use of Funds

III A : Use of IDEA Funds for State Lead Agency and ICC

- ▶ Label positions as “LA” or “ICC”
- ▶ Specify # of positions; percentage of time spent under Part C; description of duties
- ▶ All Part C programs must complete this section Section III A

Section III B

Maintenance/Implementation Activities for the Lead Agency and ICC

- ▶ List major activities/expenses of lead agency or ICC and label them
- ▶ Specify amount of Part C funds to be expended; description of activity; and whether prior approval is needed
 - See footnote in template for link to Prior Approval FAQ to obtain guidance costs requiring prior approval

Section III C

Direct Services – Funded by IDEA Funds

- ▶ Describe service and amount of federal funds to be spent on service

Section III D and E

Activities by Other State Agencies

- ▶ Only complete if program provides IDEA funds to other State agencies. Describe service and amount of federal funds to be spent on service

Description of Optional Use of IDEA Part C funds

- ▶ Only complete if program uses IDEA funds for additional activities like serving young children at risk .Describe service and amount of federal funds to be spent on service

Section III F - Totals

- ▶ Include subtotals from each area of Section III
- ▶ If applicable, add total for Section IV B (Indirect Cost)

Section IV – System of Payment/Indirect Costs

IV A – System of Payments

- ▶ Respond “yes” if State has System of Payments policy and/or bills public insurance

IV B – Indirect Costs

- ▶ All States must complete this section
- ▶ If not charging indirect costs to the Part C grant, select the “no” response
- ▶ If charging, select appropriate response item and provide documentation

Application Template

Part B



Section I

Section IA: Submission Statement

1. Full Approval
 - Select if “yes” responses to all assurances
2. Conditional Approval
 - Select if “no and date” response to an assurance
3. Optional
 - Use only if submitting a policy/procedure

Section IB: Conditional Approval for Current Grant Year (FFY 2020)

- Only use if conditional approval last year (FFY 2020)
1. Conditional Approval related to Section IIA Assurances
 2. Conditional Approval related to Other Issues

Section II A—Assurances Related to Policies

- ▶ Provide a “yes” or “no” response
- ▶ “No” responses require a date by which the State will be able to provide the assurance
- ▶ Contact your State Lead if you plan to insert a “no” response in II A.

Section II B and II C

II B - Other Assurances and II C - Certifications

- ▶ Only “yes” responses are permissible
- ▶ Contact your State Lead if you cannot response “yes” to these items

Section II D – Statement (Signature Page)

II D : Statement (Signature Page)

- ▶ Insert State name (two areas)
- ▶ Name of State agency
- ▶ Name and title of lead agency director or their designee
- ▶ Signature and date

Section III- Use of Funds

- ▶ Attach your Interactive Spreadsheet to the grant application
- ▶ Discuss how you solicit LEA input on your Interactive Spreadsheet

Section IV – State Administration

- ▶ List any State imposed rules not required by IDEA or federal regulations
- ▶ If you do not have State imposed rules, please indicate this on the application template

Section V A – MFS

- ▶ This document should be completed by your State Budget Officer or their authorized representative
- ▶ Must provide in whole dollars the total amount of State financial support made available for special education and related services during SFYs 2019 and 2020.
- ▶ If State met MFS on a per captia basis, must complete first chart and second chart by providing, in whole dollars, the amount of State financial support made available for special education and related services, per child with a disability, during SFYs 2019 and 2020.

Section V B- Significant Disproportionality

- ▶ Contact your State Lead if you changed your methodology, risk ratios, or other aspects of your calculation.
- ▶ State Lead will provide instructions on submitting an updated form.

Introduction of OSEP Acting Director



David Cantrell

Acting Director

Office of Special Education Programs (OSEP)

Questions?

Contact:

Jennifer Simpson

Facilitator, Program Implementation Team, OSEP

Jennifer.Simpson@ed.gov

(202) 245-6042